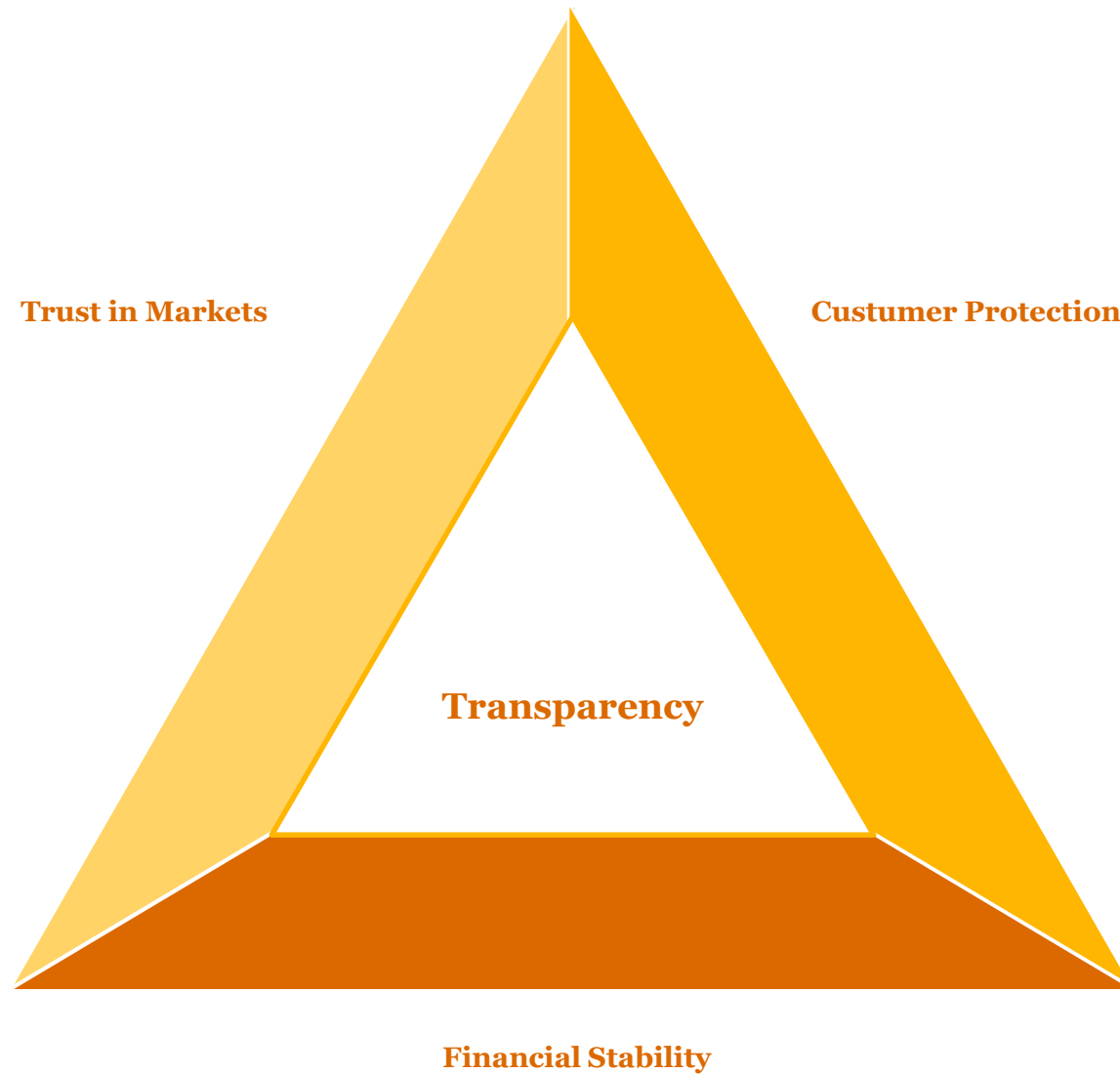


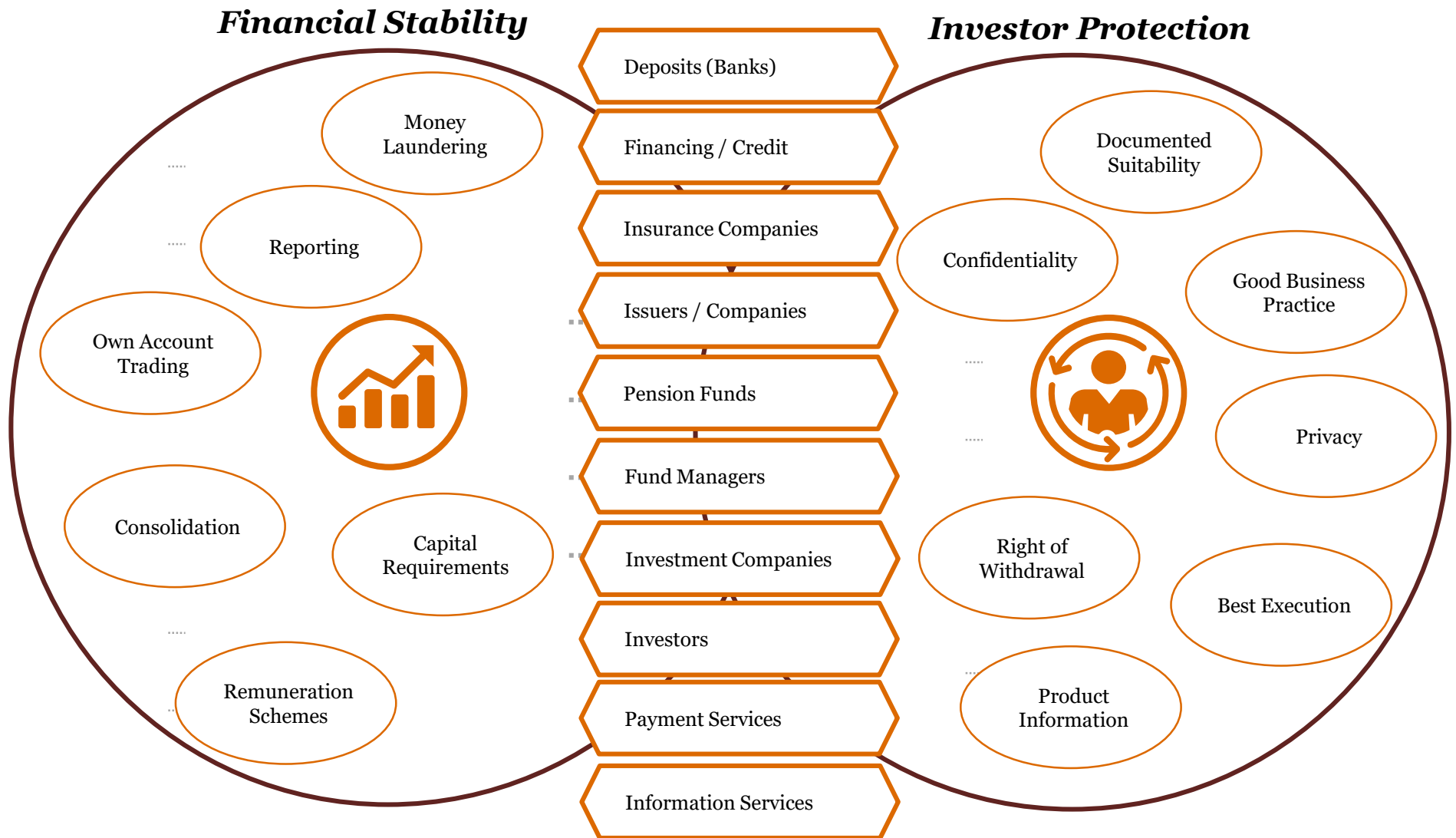
Regulatory tsunami affecting all sectors

October 2017

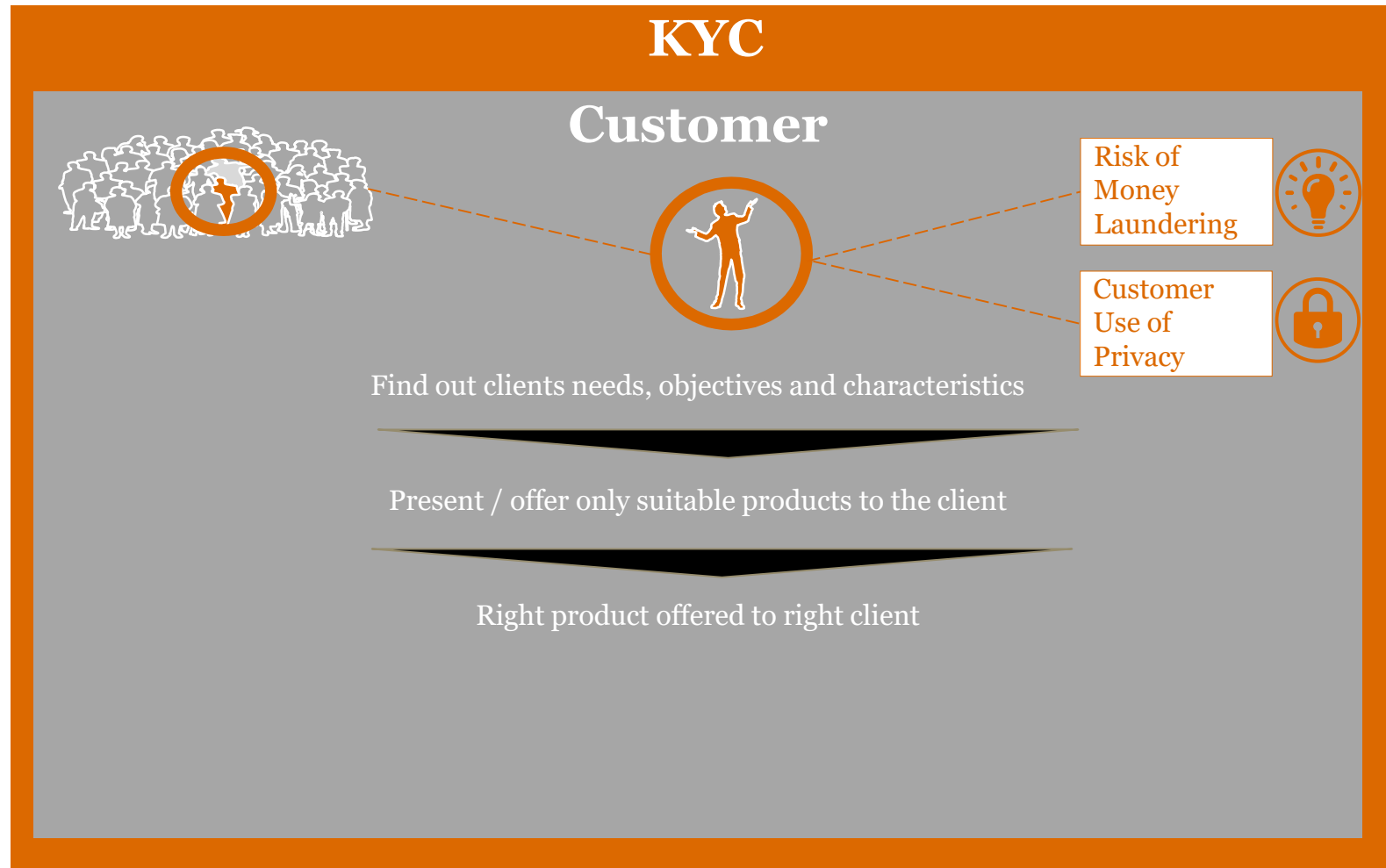
The regulation has 3 main purposes



Changes provides challenges along all service lines



Customer protection is one of the key principles



Enhanced client information – about and to clients

There are multiple regulation which requires actors to find out and report information about the customer and their behavior.

For the customer these requirements might not even be visible.



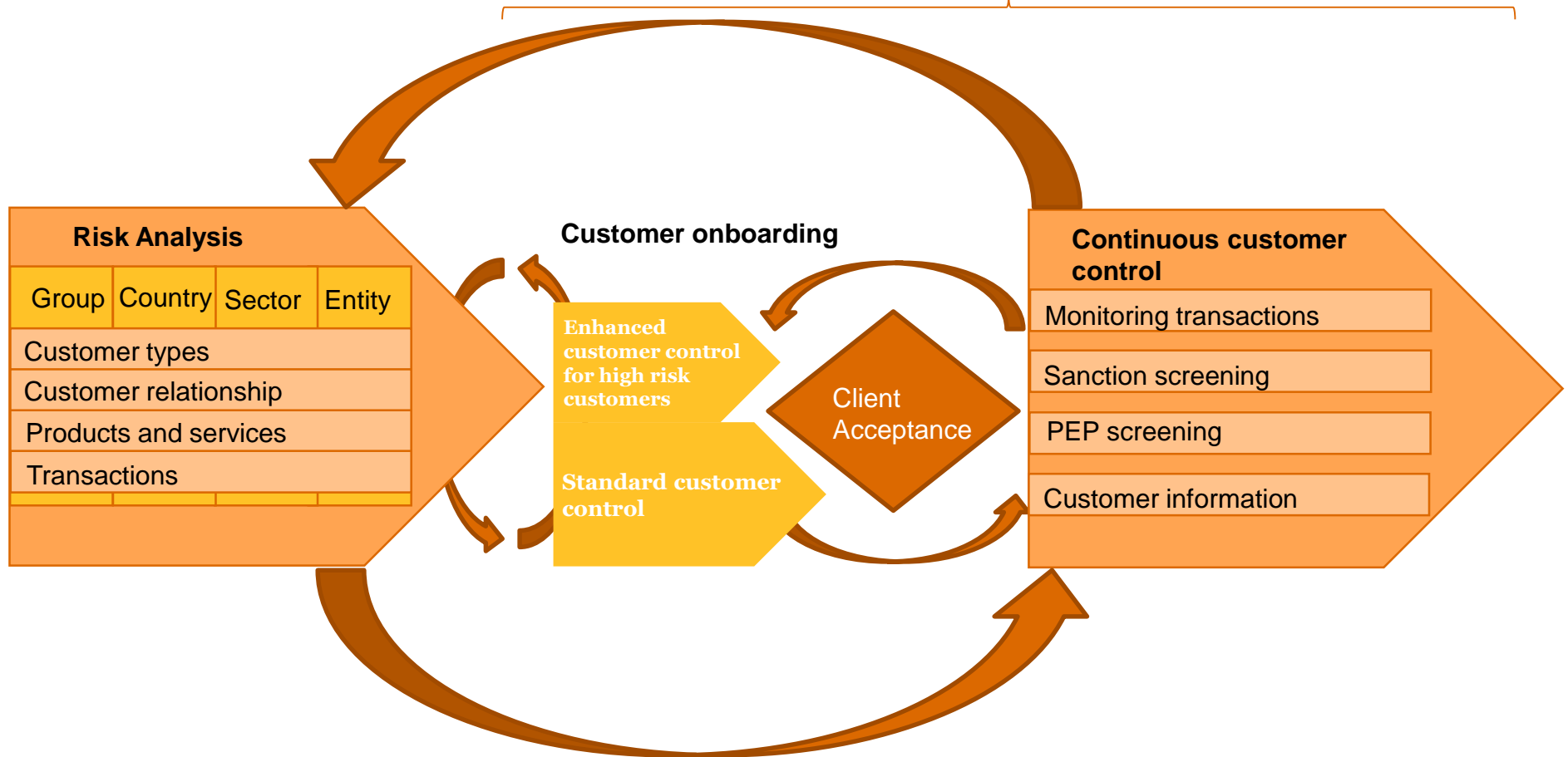
AML, EMIR, SSTR, FATCA...

Key impact areas for affected firms

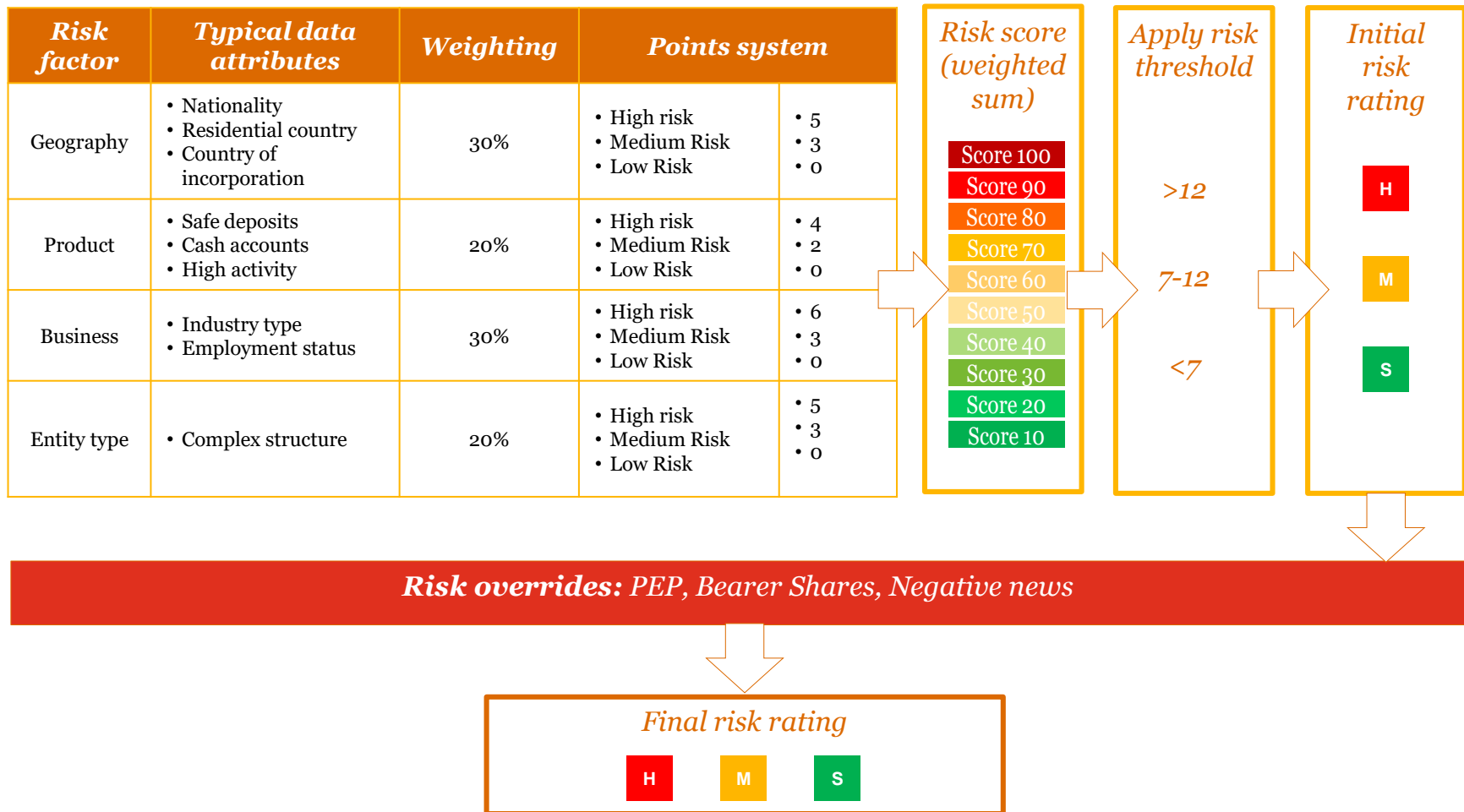


AML IV: What's the fuzz?

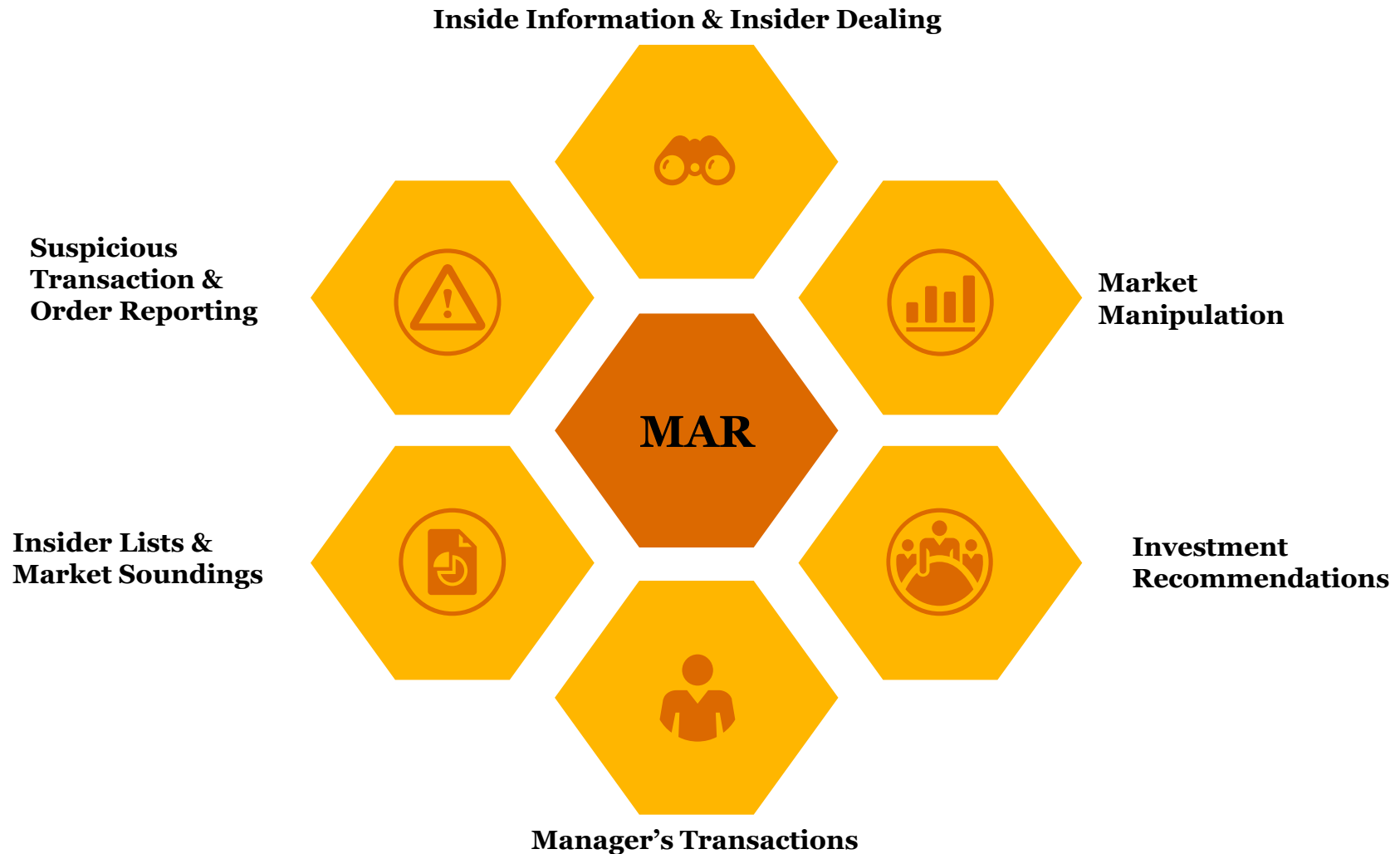
"Know Your Customer (KYC)"



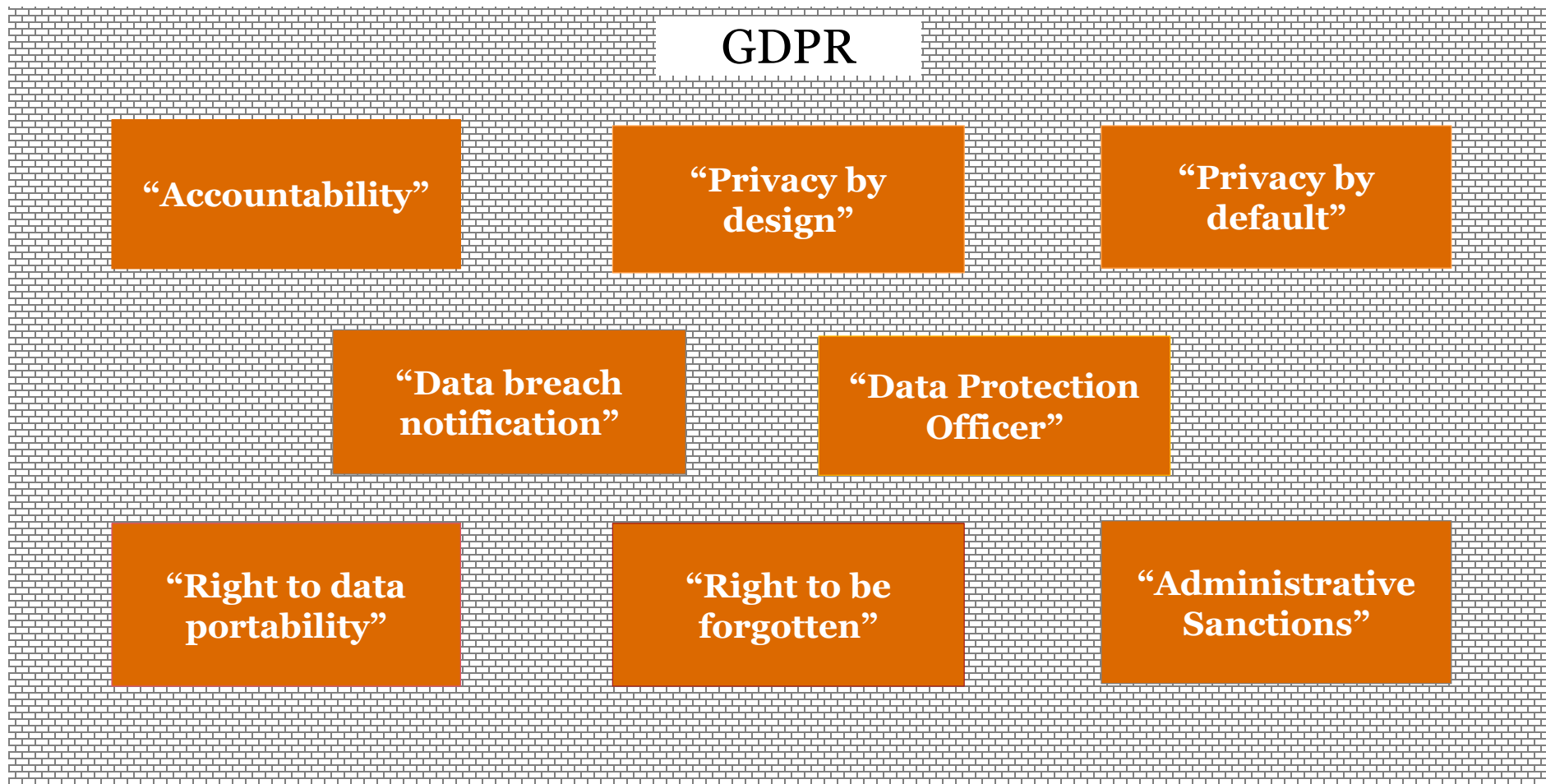
Example of a risk assessment



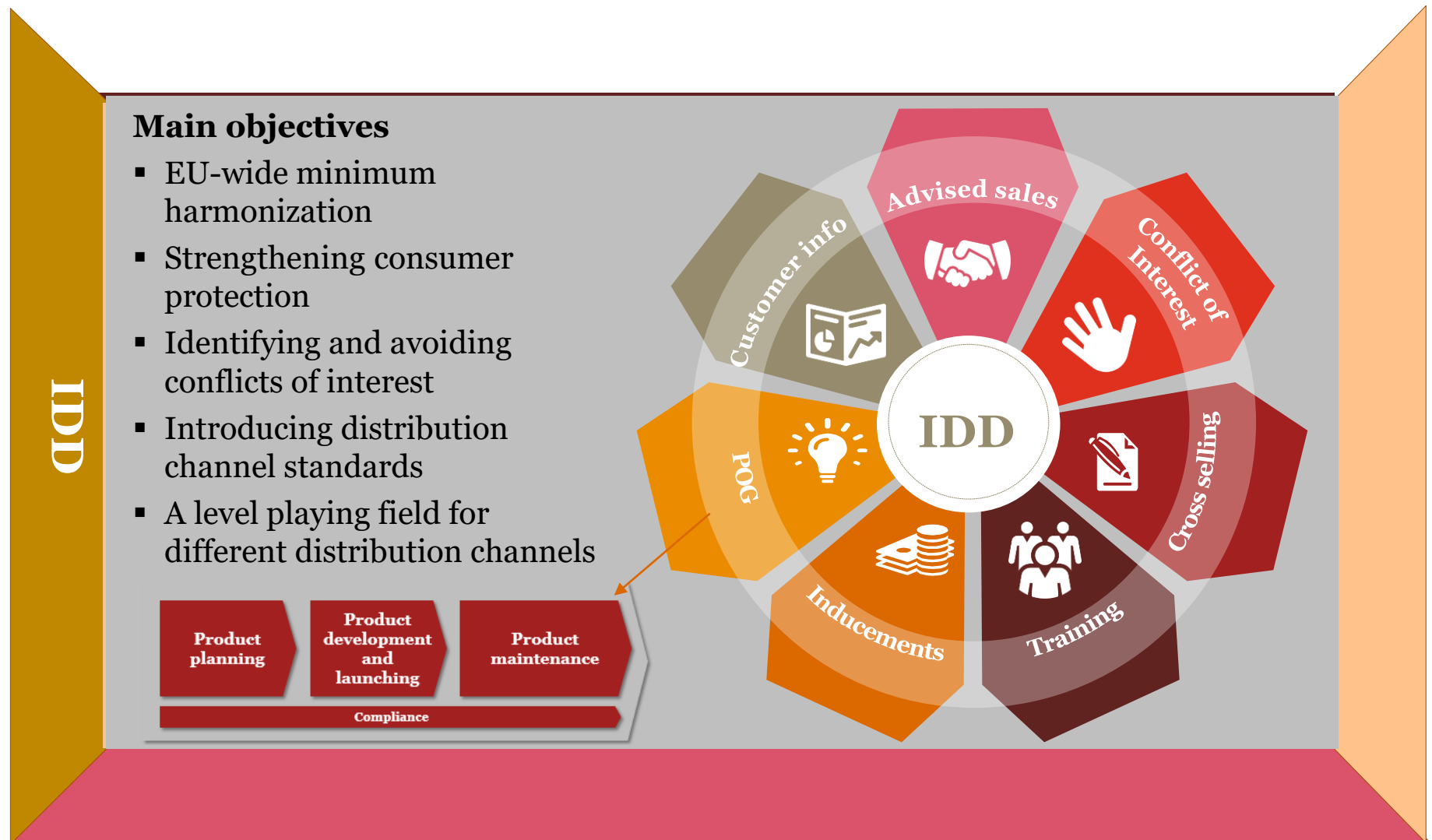
Market Abuse Regulations: Key changes



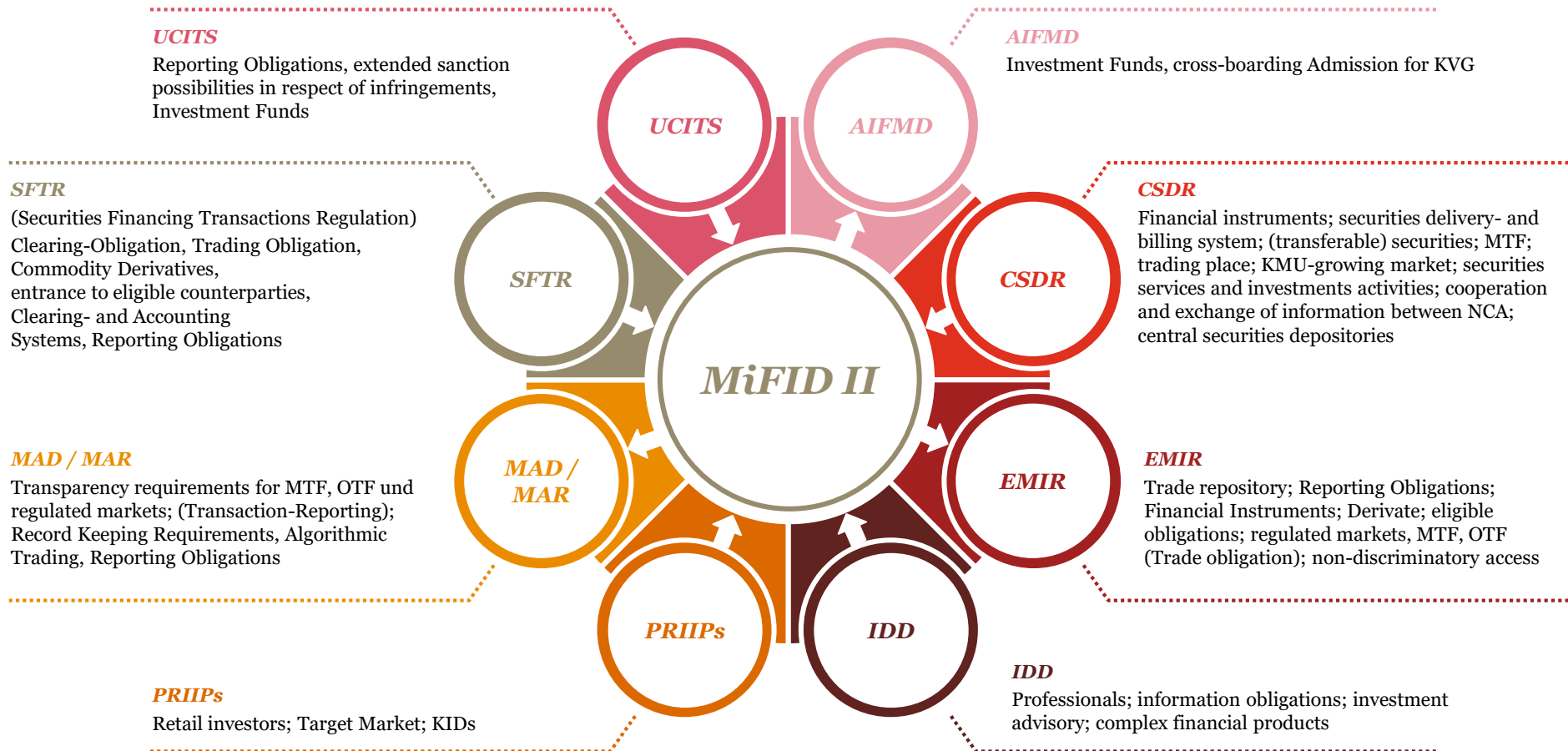
GDPR - Data Privacy: restrictions, obligations and rights



IDD objectives and main impacts

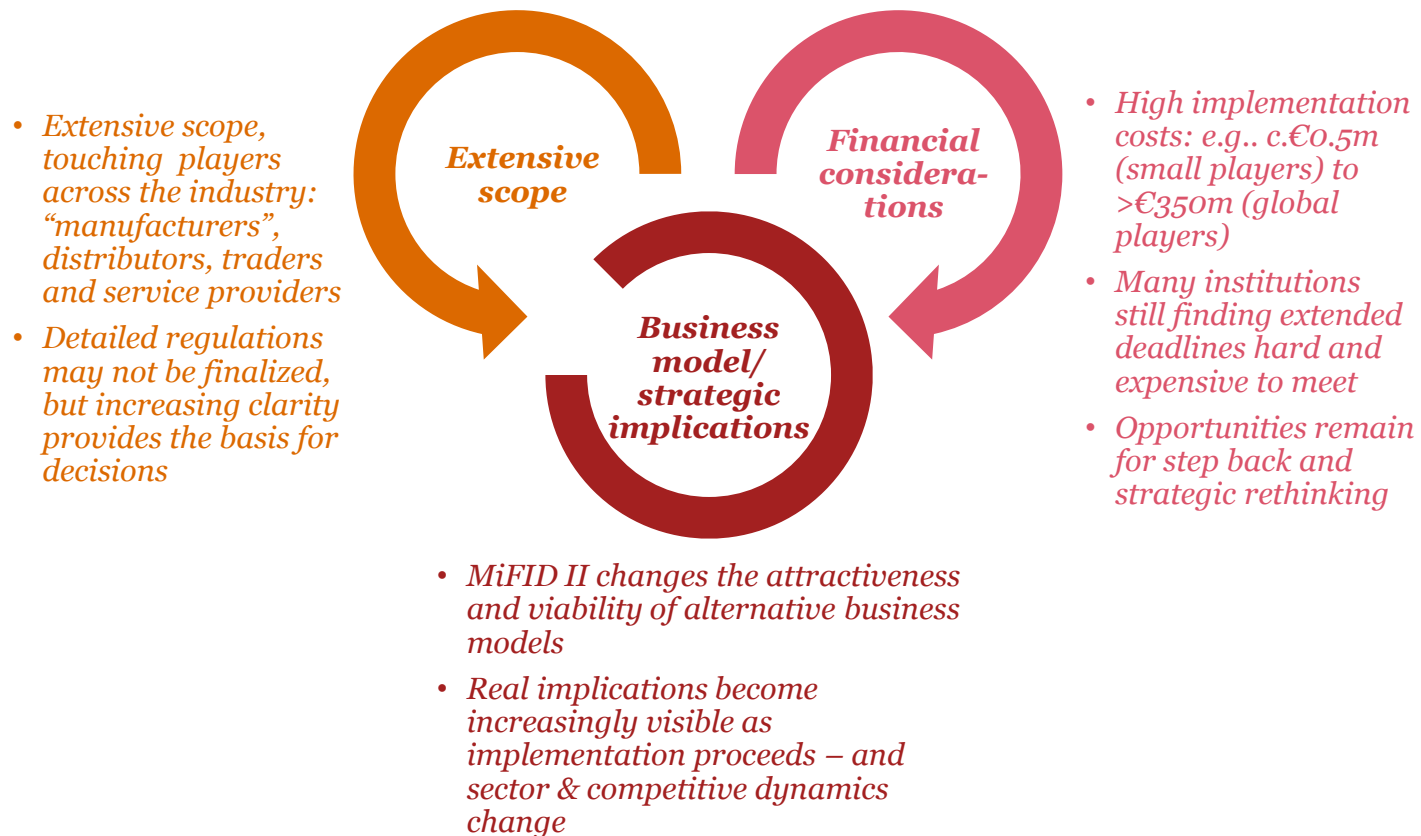


MiFID II has significant impact on other regulations

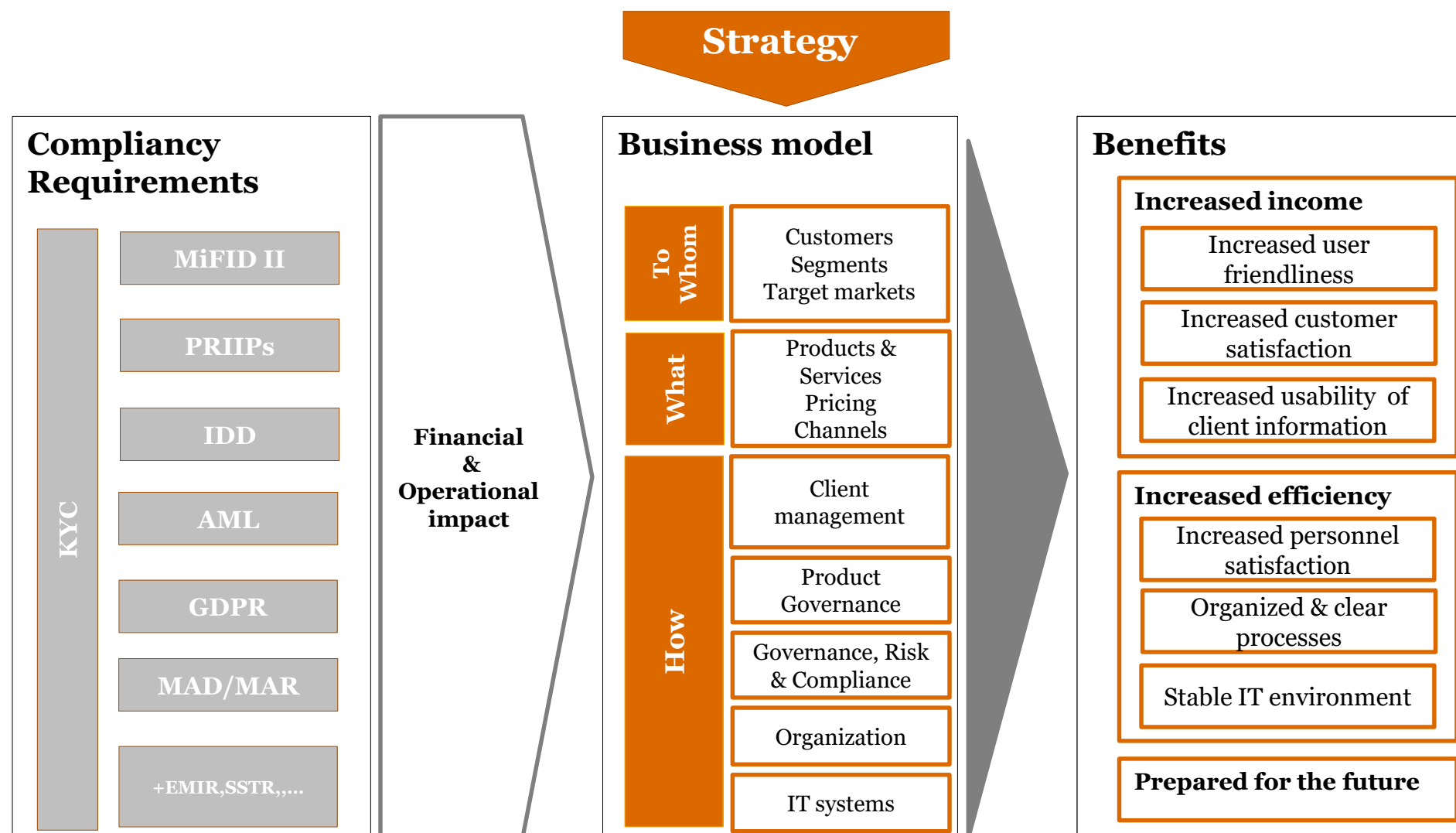


MiFID II is strategic in nature due to financial impacts

MiFID II challenges



Regulations has significant impact, both operational and financial



Is your regulatory infrastructure ready to accommodate anticipated changes?

